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By Philip Koenig 

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No: 09/228909

Attorney Docket No: LAK-1

Initially Filed: January 11, 1999

RCE Filed: June 19, 2002

Art Unit: 3628

Applicants: Lawrence KOHLS et al

Primary Examiner: Frantzy POINVIL

Title: TRADING SYSTEM FOR FIXED VALUE CONTRACTS

TRANSMITTAL OF AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

By Facsimile to: 703-872-9326

Commissioner:

Herewith please find enclosed the following papers for filing in the matter of the above-identified application for patent:

1. Amendment submitted pursuant to 37 CFR 1.111.
2. Listing of Claims Pending Upon Submission of 37 CFR 1.111 Amendment

Should any fee may be due upon the filing of this Preliminary Amendment, you are hereby requested and authorized to charge any such fee to Pittas // Koenig deposit account No. 502052.

Respectfully submitted,

Dated: July 23, 2004

By 

Philip G. Koenig
Reg. No. 31,386
PITTAS // KOENIG
P.O. Box 980
Winchester, MA 01890
(781) 721-6800

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Applicants: Lawrence KOHLS et al

Docket No. LAK-1

Application No. 09/228909

Art Unit: 3628

Initial Filing date: 01/11/1999

Examiner: Frantzy POINVIL

RCE Filing date: 06/19/2002

Title: TRADING SYSTEMS FOR FIXED-VALUE CONTRACTS

AMENDMENT UNDER 37 CFR 1.111

Commissioner for Patents
P.O. Box 1450, Alexandria, VA 22313-1450

Commissioner:

In response to the Office Action dated 19 July 2004, applicants submit this Amendment in order to cure the claims numbering informalities cited in said Office Action.